

ODD-PARITY PERTURBATIONS IN PURELY-METRIC HORNDESKI THEORIES

based on work in progress arXiv:2609.XXXXX

Héloïse Delaporte¹ and Hugo Roussille²

¹ITP, Jagiellonian University, Krakow, Poland ²ENS Lyon, France

Context

- In GR, astrophysical Black Holes (BHs) are uniquely described by the Kerr solution
- Beyond GR, e.g. in **Scalar-Tensor (ST) theories**, new branches of scalarised BHs emerge
- 🎯 Characterise those new solutions of tractable modified gravity and provide testable benchmarks regarding their GW signatures in the ringdown phase

Purely-metric Horndeski theories

- 🎯 Cubic non-shift symmetric Horndeski theories: well-behaved ST theories with second-order EoM:

$$S[g_{\mu\nu}, \phi] = \int d^4x \sqrt{-g} \left[P + Q \square \phi + FR + 2F_X(L_1 - L_2) + GE_{\mu\nu} \phi^{\mu\nu} + \frac{1}{3} G_X(L_3 - 3L_4 + 2L_5) \right], \quad (1)$$

with

$$\phi_\mu = \nabla_\mu \phi, \quad \phi_{\mu\nu} = \nabla_\mu \nabla_\nu \phi, \quad X = \phi_\mu \phi^\mu \equiv \nabla_\mu \phi \nabla^\mu \phi,$$

and

$$L_1 = \phi_{\mu\nu} \phi^{\mu\nu}, \quad L_2 = (\square \phi)^2, \quad L_3 = (\square \phi)^3, \quad L_4 = \phi_{\mu\nu} \phi^{\mu\nu} \square \phi, \quad L_5 = \phi_{\mu\nu} \phi^{\nu\rho} \phi_\rho^\mu. \quad (2)$$

- 🎯 Functions $P, Q, F, G \equiv P(\phi, X), Q(\phi, X), F(\phi, X), G(\phi, X)$ such that, e.g., $F_X = \partial F / \partial X$. To be equivalent to the ST action (6), the scalar EoM should not depend on X (pure constraint), i.e.

$$P(\phi, X) = -V(\phi) + 2X^2(3 - \ln(X))\xi^{(4)}(\phi), \quad F(\phi, X) = \phi - 2X(2 - \ln(X))\xi''(\phi), \quad (3)$$

$$Q(\phi, X) = 2X(7 - 3\ln(X))\xi^{(3)}(\phi), \quad G(\phi, X) = -4\ln(X)\xi'(\phi).$$

On-shell equivalence of theories

To summarise: degenerate $f(R, \mathcal{G})$ theories (4)-(5) are on-shell equivalent ST theories of the form (6), which are themselves equivalent to cubic, non-shift symmetric Horndeski theories (1), provided that P, Q, F, G are written as in (3) [1].

Motivation

- Among all ST theories, **purely-metric ones** stand out: are purely based on curvature invariants, i.e. only metric d.o.f. propagate
- Theories solely based on curvature invariants naturally arise in the effective action of several QG proposals (string theory, asymptotic safety, dynamical triangulation)

Degenerate $f(R, \mathcal{G})$ theories

- 🎯 Generic $f(R, \mathcal{G})$ propagating only one dynamical scalar d.o.f. ϕ (to avoid instabilities):

$$S[g_{\mu\nu}] = \int d^4x \sqrt{-g} f(R, \mathcal{G}), \quad \mathcal{G} = R^2 - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\alpha\beta}R^{\mu\nu\alpha\beta}, \quad (4)$$

which satisfy the following degeneracy condition and constraint

$$f(R, \mathcal{G}) = \phi R + \xi(\phi) \mathcal{G} - V(\phi), \quad (5)$$

$$0 = R + \xi'(\phi) \mathcal{G} - V'(\phi),$$

expressed as a parametric solution with generic $\xi(\phi), V(\phi)$.

Hence, degenerate $f(R, \mathcal{G})$ theories are on-shell equivalent to the following scalar-tensor theory:

$$S[g_{\mu\nu}, \phi] = \int d^4x \sqrt{-g} (\phi R + \xi(\phi) \mathcal{G} - V(\phi)). \quad (6)$$

- 🎯 Class of degenerate $f(R, \mathcal{G})$ that, as ST theories, admit a new branch of spontaneously “scalarised” BHs, i.e. non-local generalisation of Starobinsky inflation:

$$f(R, \mathcal{G}) = R + \frac{R^2}{6(\mu^2 - \alpha \mathcal{G})}. \quad (7)$$

Correspond to ST theories with

$$\phi = 1 + \frac{R}{3(\mu^2 - \alpha \mathcal{G})}, \quad \xi(\phi) = \frac{3}{2} \alpha (\phi - 1)^2, \quad V(\phi) = \frac{3}{2} \mu^2 (\phi - 1)^2, \quad (8)$$

with $\mu_{\text{eff}}^2 = \mu^2 - \alpha \mathcal{G}$ the spacetime-dependent squared effective mass of the propagating scalar d.o.f. ϕ . The latter is **purely-metric based, hence the name “curvaturon”**.

New solutions: curvaturised black holes ([2] and new)

- 🎯 Consider degenerate $f(R, \mathcal{G})$ of the form (7), in which there is a new branch of BH solutions and the Kerr solution is not always stable. Due to the equivalence with Horndeski theories, **these new BH solutions spontaneously “scalarise”, or rather “curvaturise” under the dynamical growth of non-zero Ricci scalar**. “Curvaturisation” of BHs occurs under a tachyonic instability

$$\mu_{\text{eff}}^2 = \mu^2 - \alpha \mathcal{G} < 0, \quad (\square - \mu_{\text{eff}}^2) \delta \phi = 0, \quad (9)$$

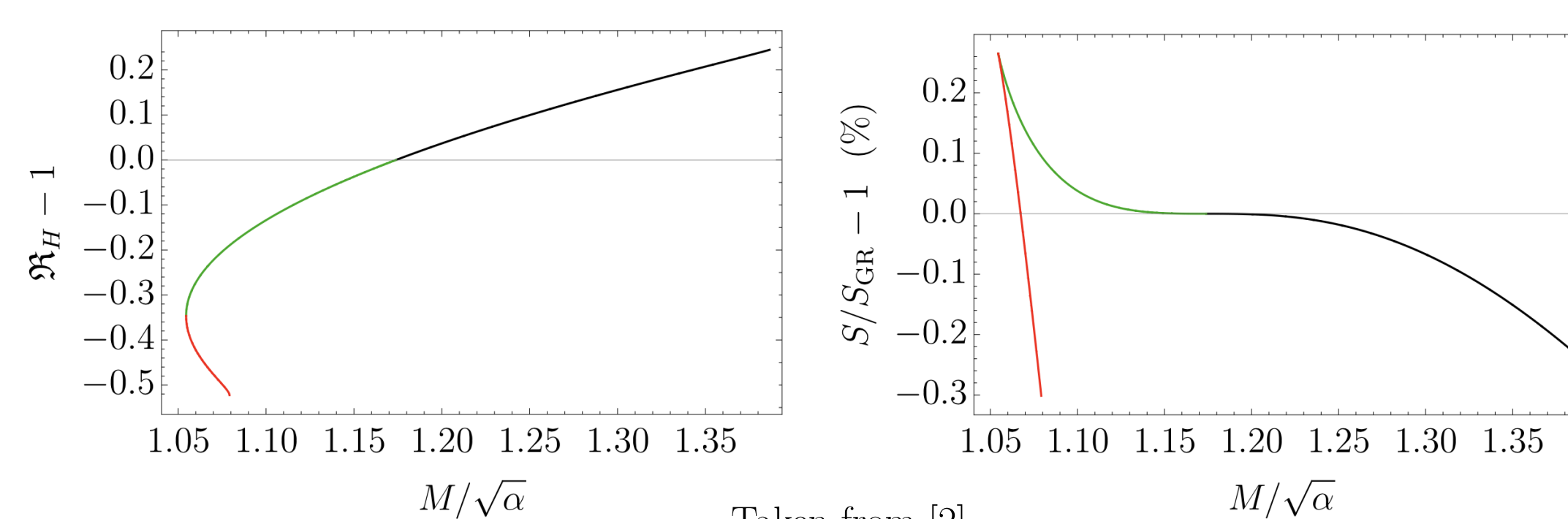
which develops around small-enough Schwarzschild BHs (solution of (7) for $\phi = 1$) under linearised scalar perturbations $\delta \phi$, provided that $M/\sqrt{\alpha} \leq \mathbf{1.174}$, if $\alpha > 0$.

- 🎯 Curvaturised BHs are solutions of the EoM of the theory derived in the formulation (1) for a metric and scalar field of the form:

$$ds^2 = -f(r) e^{-2\delta(r)} dt^2 + \frac{dr^2}{f(r)} + r^2(d\theta^2 + \sin^2\theta d\varphi^2), \quad \phi \equiv \phi(r). \quad (10)$$

We obtain 3 coupled differential equations: 2 are first-order (for $f(r)$ and $\delta(r)$) and 1 is second-order (for $\phi(r)$). Those are **numerically solved using a shooting method for $\phi_H \equiv \phi(r_H)$** , boundary conditions for $f(r)$, $\delta(r)$, $\phi(r)$ at r_H and r_∞ , and reality + existence conditions.

New branch of “curvaturised” BHs branching out of the GR Schwarzschild solution for masses around $M/\sqrt{\alpha} \simeq 1.174$.



Axial perturbations: theory and results

- 🎯 Consider linearised ($\varepsilon \ll 1$) axial (odd-parity) perturbations around a background “curvaturised” BH $\{\bar{g}_{\mu\nu}(r), \bar{\phi}(r)\}$ of the form (10):

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + \varepsilon h_{\mu\nu}, \quad \phi = \bar{\phi} + \varepsilon \delta \phi. \quad (11)$$

Expand the action up to the quadratic order $S^{(2)}[h_{\mu\nu}, \phi] \propto \{\delta^{(2)}\phi, h_{\mu\nu}^{(2)}, \delta\phi \cdot h_{\mu\nu}\}$ and restrict to linearised perturbation, i.e. terms $\propto \{\delta^{(2)}\phi, h_{\mu\nu}^{(2)}\} \rightarrow 0$. Compute the EoM for the perturbations $\{h_{\mu\nu}, \delta\phi\}$ by taking the variation

$$\mathcal{E}_{\mu\nu} = \frac{\delta S^{(2)}[h_{\mu\nu}, \delta\phi]}{\delta h^{\mu\nu}} = 0, \quad \delta\phi \rightarrow 0, \quad (12)$$

as the scalar d.o.f. does not contribute to axial perturbations.

Use the Regge-Wheeler gauge for $h_{\mu\nu}$, i.e. only $\{h_{t\theta}, h_{t\varphi}, h_{r\theta}, h_{r\varphi}\}$ remain, which depend on $h_0(t, r)$ and $h_1(t, r)$, and decompose in spherical harmonics $Y_{l,m}(\theta, \varphi)$ for fixed l, m . We obtain 3 (radial) EoM $\{\mathcal{E}_{t\theta}, \mathcal{E}_{r\theta}, \mathcal{E}_{\theta\theta}\} = 0$ and show that these and their derivatives up to 3rd are uniquely related, thus only 2 of them remain:

$$\mathcal{E}_{r\theta}(\omega, h_0, h_1, h'_0 | \dots) = 0, \quad \mathcal{E}_{\theta\theta}(\omega, h_0, h_1, h'_0, h'_1 | \dots) = 0 \quad (13)$$

- 🎯 Motivated by [3], we rewrite those equations as

$$\frac{dY}{dr} = MY, \quad Y = \begin{pmatrix} h_0 \\ h_1/\omega \end{pmatrix}, \quad M = \begin{pmatrix} 2/r & -i\omega^2 + 2i\lambda\Phi/r^2 \\ -i\Gamma & \Delta \end{pmatrix}, \quad \lambda = \frac{l(l+1)}{2} - 1, \quad (14)$$

and show that those axial perturbations can be rewritten as the GR ones in the following effective metric

$$d\tilde{s}^2 = \Xi [-\Phi dt^2 + \Gamma \Phi dr^2 + r^2 d\Omega^2], \quad \Phi, \Gamma, \Delta, \Xi = \text{Func}(f(r), \delta(r), \phi(r), X, F(\phi, X), G(\phi, X)). \quad (15)$$

Next steps

- Are causality structures of background and perturbed spacetime compatible?
- Are curvaturised BHs stable?
- How do the axial Quasi-Normal Modes compare to GR?

References

- [1] T Kobayashi et al. “Generalized G-inflation: Inflation with the most general second-order field equations”. In: *Prog. Theor. Phys.* 126 (2011), pp. 511–529. arXiv: **1105.5723 [hep-th]**.
- [2] A Eichhorn and PGS Fernandes. “Purely metric Horndeski theories and spontaneous curvaturization of black holes”. In: *Phys. Rev. D* 111.10 (2025), p. 104007. arXiv: **2502.14717 [gr-qc]**.
- [3] D Langlois et al. “On the effective metric of axial black hole perturbations in DHOST gravity”. In: *JCAP* 08.08 (2022), p. 040. arXiv: **2205.07746 [gr-qc]**.